

Rule 2.09 ([FRCP 7.1](#)) Disclosure Statement.

(A) Statement.

Every nongovernmental corporate party or nongovernmental corporation that seeks to intervene in any case, and every party or intervenor in an action in which jurisdiction is based upon diversity under 28 U.S.C. § 1332(a), must file a Disclosure Statement provided by and available from the Clerk of Court. Information provided in the Disclosure Statement may be used by the judge assigned to a case to determine recusal and jurisdictional issues. The Disclosure Statement may be filed under seal if so ordered by the Court in accordance with Local Rule 13.05 (A). When a negative or “not applicable” response is required, the Disclosure Statement must so state.

(B) Content.

(1) If the subject is a nongovernmental corporate party or a nongovernmental corporation that seeks to intervene, the Disclosure Statement must identify whether it is publicly traded and if so on which exchange(s); parent companies or corporations; subsidiaries not wholly owned, and any publicly held corporation or company that owns five percent (5%) or more of the subject’s stock.

(2) If the subject is a party or intervenor in an action in which jurisdiction is based on diversity under 28 U.S.C. § 1332(a), the Disclosure Statement must identify the name and citizenship of every individual or entity whose citizenship is attributed to that party or intervenor, including all members, sub-members, general and limited partners, and corporations. “Sub-members” include the members of members (i.e., first-tier sub-members), and the members of first-tier sub-members (i.e., second-tier sub-members), the members of second-tier sub-members (i.e., third-tier sub-members), and so on, until the Court knows the

citizenship of all persons and entities within the ownership structure. Further, if a corporation is a member or sub-member of the subject, that corporation's state of incorporation and principal place of business must be disclosed.

(C) Time to File; Supplemental Filing.

A party, intervenor, or proposed intervenor must file:

- (1) the Disclosure Statement with its first appearance, pleading, petition, motion, response, or other request addressed to the Court; and
- (2) a supplemental Disclosure Statement if any required information changes and/or if any later event occurs that could affect the Court's jurisdiction under 28 U.S.C. § 1332(a), within seven (7) days of the change or event.

(Amended December 9, 1998, effective February 1, 1999; Amended July 10, 2006, effective August 28, 2006; Amended November 5, 2014, effective December 15, 2014; Amended October 2, 2019, effective November 1, 2019; Amended December 14, 2022, effective March 1, 2023)